



GeSI
GLOBAL E-SUSTAINABILITY
INITIATIVE

Konfliktmineralien-Fragebogen

Deutsch

[Click here to check required fields completion](#)

1 or more required fields need to be populated

Revision 2.03a
July 25th, 2013

[Link to Terms & Conditions](#)

Der Zweck dieses Dokuments ist die Erfassung von Beschaffungsinformationen für Zinn, Tantal, Wolfram und Gold welches in Produkten genutzt wird

Pflichtfelder sind mit einem Stern (*) gekennzeichnet. Die in diesem Fragebogen erfassten Informationen müssen in einem jährlichen Rhythmus auf den neuesten Stand gebracht werden. Jegliche Änderungen innerhalb des Jahreszyklus sollten Ihren Kunden zur Verfügung gestellt werden.

Firmenangaben	
Firmenname (*):	Vaas Leiterplattentechnologie GmbH
Erläuterungsbereich (*):	PCB Fabrication
Beschreibung des Erläuterungsbereiches:	
Firmenidentifikationsnummer:	
Adresse:	Göhlingstrasse 47
Name des bevollmächtigten Firmenvertreters (*):	Günther Stohrer
Titel des Firmenvertreters:	Q-Manager
E-Mail des Firmenvertreters (*):	stohr@vaas-lk.de
Telefonnummer des Firmenvertreters:	00497171800261
Datum der Fertigstellung (*):	2-Jul-2014

Beantworten Sie folgende Fragen 1 - 6 basierend auf dem oben angegebenen Erläuterungsbereich

1) Sind folgende Metalle notwendig für die Funktionalität oder die Herstellung der Produkte die Ihre Firma herstellt oder herstellen lässt? (*)

Metall	Antwort	Kommentare
Tantal (*)	no	
Zinn (*)	yes	
Gold (*)	Yes	
Wolfram (*)	no	

2) Entstammen folgende Metalle (die notwendig für die Funktionalität oder die Herstellung der Produkte Ihrer Firma sind) ursprünglich der DRC oder einem angrenzenden Land? (*)

Metall	Antwort	Kommentare
Tantal	no	
Zinn (*)	no	
Gold (*)	no	
Wolfram	no	

3) Kommen die folgenden Metalle (die notwendig für die Funktionalität oder die Herstellung der Produkte Ihrer Firma sind) aus Recycling- oder Schrott- Bezugsquellen? (*)

Metall	Antwort	Kommentare
Tantal	no	
Zinn (*)	no	
Gold (*)	no	
Wolfram	no	

4) Haben Sie von sämtlichen Ihrer Lieferanten vollständig ausgefüllte Konfliktmineralien-Fragebögen erhalten? (*)

Metall	Antwort	Kommentare
Tantal	no	
Zinn (*)	No - none	Click here to enter smelter names Ampere Deutschland GmbH, 63128 Dietzenbach / I-TSCL, 58708 Mindaen
Gold (*)	No - none	Heimerle + Meule GmbH GERMANY 1DEU017
Wolfram	no	

5) Haben Sie für jedes der folgenden Metalle sämtliche Schmelzhütten identifiziert, die Ihre Firma und Ihre Lieferanten für Produkte innerhalb des oben angegebenen Erklärungsbereiches beliefern? (*)

Metall	Antwort	Kommentare
Tantal	no	
Zinn (*)	No	Click here to enter smelter names
Gold (*)	yes	
Wolfram	no	

6) Sind, bezogen auf die folgenden Metalle, sämtliche der von Ihrer Firma und Ihren Lieferanten genutzten Schmelzhütten gemäß den Vorgaben des Conflict-Free Smelter (CFS) Programms validiert worden und in der „Compliant Smelter“- Liste aufgeführt? (*)

Metall	Antwort	Kommentare
Tantal	no	Link to "CFS Compliant Smelter List"
Zinn (*)	yes	
Gold (*)	yes	
Wolfram	no	

Beantworten Sie folgende Fragen auf Firmenebene

Frage	Antwort	Kommentare
A. Gibt es in Ihrer Firma Vorschriften zur DRC-konflikt-freiem Einkauf? (*)	no	
B. Sind diese Vorschriften öffentlich auf Ihrer Firmen-Webseite verfügbar? (*)	no	
C. Fordern Sie "DRC-konfliktfrei"- Erklärungen von Ihren direkten Lieferanten? (*)	yes	
D. Verpflichten Sie Ihre direkten Lieferanten dazu, von solchen Schmelzhütten einzukaufen, die nach den Vorgaben des CFS Programms validiert worden und in der „CFS Compliant Smelter“- Liste aufgeführt sind? (*)	no	
E. Haben Sie Due Diligence- Maßnahmen für konfliktfreie Beschaffung eingeführt? (*)	no	
F. Verlangen Sie von Ihren Lieferanten den vorliegenden Konfliktmineralien-Fragebogen auszufüllen? (*)	no	
G. Erfragen Sie von Ihren Lieferanten die Namen der Schmelzhütten, die diese verwenden? (*)	yes	
H. Überprüfen Sie die Korrektheit der Due Diligence Informationen, die Sie von Ihren Lieferanten erhalten? (*)	no	
I. Beinhaltet Ihr Überprüfungsprozess ein Korrekturmaßnahmen-Programm? (*)	yes	
J. Unterliegt Ihre Firma den Offenlegungspflichten der SEC im Bezug auf Konfliktmineralien? (*)	no	

[Click here to check required fields completion](#)

To ensure all required fields have been populated before submitting to your customers review form for any line items highlighted in red
[Click here to return to Declaration tab](#) [Click here to return to Smelter List](#)

Required fields remaining to be completed
 2

Required Fields	Answer provided	Notes	Hyperlink to source
Company Name (*):	Vaas Leiterplattentechnologie GmbH	Completed	
Declaration Scope (*):	PCB Fabrication	Completed	
Description of Scope:		Must provide Description of Scope on Declaration tab cell D9	Click here to provide a Description of Scope
Authorized Company Representative Name (*):	Günther Stohrer	Completed	
Representative Email (*):	stohrer@vaas-it.de	Completed	
Date of Completion (*):	2-Jul-2014	Completed	
1) Are any of the following metals necessary to the functionality or production of your company's products that it manufactures or contracts to manufacture? (*)			
Tantalum (*)	no	Completed	
Tin (*)	yes	Completed	
Gold (*)	yes	Completed	
Tungsten (*)	no	Completed	
2) Do the following metals (necessary to the functionality or production of your company's products) originate from the DRC or an adjoining country? (*)			
	no		
Tin (*)	no	Completed	
Gold (*)	no	Completed	
	no		
3) Do the following metals (necessary to the functionality or production of your products) come from a recycler or scrap supplier? (*)			
	no		
Tin (*)	no	Completed	
Gold (*)	no	Completed	
	no		
4) Have you received completed Conflict Minerals Reporting Templates from all of your suppliers? (*)			
	no		
Tin (*)	No - none	Completed	
Gold (*)	No - none	Completed	
	no		
5) For each of the following metals, have you identified all of the smelters your company and its suppliers use to supply the products included within the declaration scope indicated above? (*)			
	no		
Tin (*)	No	Completed	
Gold (*)	yes	Completed	
	no		
6) Have all of the smelters used by your company and its suppliers been validated as compliant in accordance with the Conflict-Free Smelter (CFS) Program and listed on the Compliant Smelter List for the following metals? (*)			
	no		
Tin (*)	yes	Completed	
Gold (*)	yes	Completed	
	no		
A. Do you have a policy in place that includes DRC conflict-free sourcing? (*)	no	Completed	
B. Is this policy publicly available on your website? (*)	no	Completed	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	yes	Completed	
D. Do you require your direct suppliers to source from smelters validated as compliant to a CFS protocol using the CFS Compliant Smelter List? (*)	no	Completed	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	no	Completed	
F. Do you request your suppliers to fill out this Conflict Minerals Reporting Template? (*)	no	Completed	
G. Do you request smelter names from your suppliers? (*)	yes	Completed	
H. Do you verify due diligence information received from your suppliers? (*)	no	Completed	
I. Does your verification process include corrective action management? (*)	yes	Completed	
J. Are you subject to the SEC Conflict Minerals disclosure requirement rule? (*)	no	Completed	
No smelter names have been provided	No smelter names provided on Smelter List tab	Must provide list of smelters contributing material to supply chain on Smelter List tab	Click here to provide smelter information



REVISION HISTORY

A change in the first digit of the revision number (e.g., 1.0 to 2.0) signifies a set of major improvements have occurred which will likely include different data reporting requirements. Changes to the first or second decimal place (e.g., "2.01" to "2.02") indicate only minor changes have been made to the template which are not expected to result in substantial changes to the data being reported. The addition of a letter (e.g., "a", or "b", or "c") following the revision number indicate that only the standard smelter list has been updated from the prior version.

REVISION	ORIGINATOR	RELEASE DATE	DESCRIPTION OF FUNCTIONAL CHANGE	UPDATES TO SMELTER LIST
1	Jared Connors, Intel	July 19th, 2011	New Release	
2	Jared Connors, Intel	Aug 29th, 2012	Major update to functionality including: addition of the known smelter list, addition of declaration scope including product tab, and added and modified multiple questions and / or their responses.	New.
2.01	Jared Connors, Intel	Dec 21st 2012	List of changes to the template functionality: 1. Modified Smelter List tab to prevent smelter rows from wrapping text. This was being caused by the hidden formula in column A which allows for a software vendor to easily grab the smelter ID. 2. Changed protection settings on the Smelter List tab to allow users to delete rows. This allows users to delete rows with incorrect entries within the smelter tab. Ensured that columns could not be mistakenly deleted in the process.	1. Added "CV DS Jaya Abadi" as an alias to "PT Starindo Inti Perisasa" 2. Added "Mentok" as an alias to "PT Tambang Timah" 3. Corrected spelling of "Duaulatan" to "Duaulatan" id # 3CRH001 4. Corrected spelling of "Mitsubishi Materials Corporation" id # 1JPN039 5. Changed "Gejiu Non-ferrous" to its proper name "Gejiu Non-Ferrous Metal Processing Co. Ltd." 6. Changed "Mitsubishi Material" to its proper name "Mitsubishi Materials Corporation" 7. Changed "Nicolin" to "Kemat Blue Powder" 8. Added "Nihon Material Co. LTD" as a gold refiner 9. Added "Aika Chemical Industries Co. Ltd." as a gold refiner 10. Added "Asafra Riken Co Ltd" as a gold refiner 11. Added "Kojima Chemical" as a gold refiner 12. Added "Sabin" as a gold refiner 13. Added "United Precious Metal Refining Inc." as a gold refiner 14. Added "Yokohama Metal Co Ltd" as a gold refiner 15. Added "CNMC (Guangxi) PGMA Co., Ltd." as a tin refiner 16. Added "Cangzhou Tantalum and Niobium Smeltery" as a tantalum refiner 17. Removed "Tantalite Resources" as a refinery 18. Added "Minmetals Ganzhou Tin Co. Ltd." as a tin refinery 19. Updated "ATI Metalworking Products" to its proper full name "ATI Tungsten Materials" 20. Updated "China Minmetals Corp." to its proper full name "China Minmetals Nonferrous Metals Co Ltd" 21. Removed "Ganzhou Huzhong Tungsten" as a smelter 22. Removed "Ganzhou Nonferrous Metals Smelting Co Ltd." as a smelter 23. Removed "Sichuan Metals & Materials Imp & Exp Co as a tungsten smelter 24. Added "Ganzhou Grand Sea W & M Group Co., Ltd." as a tungsten smelter 25. Added "Hunan Chenzhou Mining Group Co" as a tungsten smelter 26. Added "Japan New Metals Co Ltd" as a tungsten smelter 27. Added "Zhuzhou Cemented Carbide Group Co Ltd" as a tungsten smelter
2.02	Jared Connors, Intel	March 29th 2013	1. Added new selection to the metals dropdown lists of smelter list tab "Smelter not yet identified" 2. Moved "smelter not listed" to the bottom of each metals dropdown list 3. Fixed error in Checker sheet to eliminate display of text "one or more smelters have been added to smelter list" when rows are deleted 4. Rewrite of T&C 5. Adding Italian translation 6. Allow for deletion of rows in Smelter List tab 7. Removed hover over text in column C of Smelter List tab 8. Inserted additional rows for data entry on the Smelter List tab up to 2,500 rows 9. Made smelter ID numbers visible in Smelter List tab 10. Made template revision history tab visible 11. Removed language selection from individual tabs, all controlled on Declaration tab 12. Updated template to prevent users from adding tabs to the worksheet 13. Added statement at the top of the revision history tab clarifying purpose of .0x revision updates	1. Added the following aliases to Ohio Precious Metals "OPM Metals", "USPM", "United States Precious Metals" 2. Added "ALMT" as tungsten smelter 3. Added "Suzhou Xingui Noble" as gold smelter 4. Added "Shandong Zhaolin Group" as an alias of "Shandong Zhaolin Gold & Silver Refinery Co., Ltd" 5. Added "Shandong Zhongyuan Gold Refining Company Limited" as an alias of "Zhongyuan Gold Smelter of Zhongjin Gold Corporation" 6. Added "SEMPSA" as an alias for "SEMPSA Joyeria Platara SA" 7. Added "Unimco Brazil Ltd" as an alias for "Unimco Brazil Ltda" 8. Added "Pan Pacific Copper Co., LTD." as a gold smelter 9. Added "White Bolter Metallurgy" as a tin smelter 10. Added "Jiuliang JinXin Nonferrous Metals Co. Ltd." as a tantalum smelter 11. Corrected spelling of "Jiuliang Tanbore" to "Jiuliang Tanbore Co. Ltd." 12. Added Torocom as a gold smelter 13. Added "PT Tinindo Infernusa" as alias of "PT Tinindo Inter Nusa" 14. Added "CV Jua Tindo" as alias of "CV Jua Tindo" 15. Added "PT Belitung Masjar" and "BML" as alias of "PT BSM Tin Masjar Lestari" 16. Added "Luzhou Tin Group Co., Ltd." as alias of "Luzhou China Tin" 17. Added "PT Timah (Pawera) TBK" and "Banta Tin" as alias of "PT Tambang Timah" 18. Added "Yun Nan Tin Co., LTD" as alias of "Yunnan Tin Company Limited" 19. Added "GEJIU ZILI MINING&SMELTING CO.,LTD." as alias of "Gejiu Z-LF" 20. Added "Jiangxi Tungsten Co Ltd" as alias of "Jiangxi Tungsten Industry Group Co Ltd" 21. Added "Linxu Xianggou" as a tin smelter 22. Added "IML" and "Indra Eramati Logam" as aliases of "PT Bukit Timah" 23. Added "CV Gita Pisona" as tin smelter 24. Added "PT Tommy Uthman" as tin smelter 25. Added "PT Bangka Tin Industry" as tin smelter 26. Added "PT DS Jaya Abadi" as tin smelter 27. Added "PT Panca Mega" as tin smelter 28. Added "PT Selrama Tin Investment" as tin smelter 29. Added "PT Kartman Mining" as tin smelter 30. Added "Cooper Santa" as tin smelter 31. Added "Daqing Indus Co. Ltd" as gold smelter 32. Added "DaeyongENC" as gold smelter 33. Added "Da Sung Corporation" as gold smelter 34. Added "Hwasung C.J. Co. Ltd" as gold smelter 35. Added "Korea Metal" as gold smelter 36. Added "SAMWON METALS Corp." as gold smelter
2.03	Akimaasa Yamakawa, JETTA / John Flyler, BlackBerry	July 12th 2013	1. Resolved Excel 2003 incompatibility with programming for multiple languages. 2. Minor corrections to row number references in the instructions. 3. Added translation on checker sheet for the Column Name "Hypertext to Source" 4. Corrected Japanese translation of "authorized representative" and "representative" on Declaration worksheet. 5. Adjusted row spacing of miso cells to allow for different lengths of translated text and comments. 6. Removed the symbols for the metals on the standard smelter list (e.g., "Sn"). 7. Deleted text "If no for all metals, you are done with this survey." from question 1 on the Declaration worksheet.	1. Added "Fujian Jinxin Tungsten Co., Ltd." as tungsten refiner 2. Added "Jaysi Waling Tungsten Co., Ltd." as tungsten refiner 3. Added "Gintel Pandan Shaguan Tungsten Co., Ltd." as tungsten refiner 4. Added "Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd." as tungsten refiner 5. Added "Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd." as tungsten refiner 6. Corrected the spelling of "Alkyne" to "Alkyne" 7. Corrected the spelling of "Alkyne Powder Technologies" to "Alkyne Powder Technologies" 8. Corrected the spelling of "Korea Metal" to "Korea Metal Co. Ltd" 9. Added "LMS Brasil S.A." as tantalum smelter 10. Added "QuanzhouClear" as tantalum smelter 11. Added "Teki Chemicals" as tantalum smelter 12. Added "Tantalite Resources" as tantalum smelter 13. Corrected naming inconsistency of "Ohio Precious Metals LLC" on standard smelter list and alias table. 14. Corrected naming inconsistency of "The Refinery of Shandong Gold Mining Co., Ltd" on standard smelter list and alias table. 15. Added "MolyCorp Sima" as tantalum smelter 16. Added "King-Tan Tantalum Industry Ltd" as tantalum smelter 17. Added "CooperMetal" as an alias of "Cooperanta" 18. Corrected the spelling of "Malaysia Smelting Corp" to "Malaysia Smelting Corporation (MSC)" 19. Corrected the spelling of "Asahi Pretec Corp" to "Asahi Pretec Corporation" 20. Corrected the spelling of "United Precious Metal Refining Inc." to "United Precious Metal Refining, Inc." 21. Added "Felix Metals" as tin smelter 22. Changed alias "Bangka Tin" from "PT Tambang Timah" to "PT Timah" 23. Added "Katsangan" as an alias of "PT Bangka Pulva Karya" 24. Corrected the Smelter ID of Cooper Santa from "2IDN069" to "2BRAD63" 25. Added "Kundur" as an alias of "PT Tambang Timah" 26. Added "TT" as an alias of "PT Tambang Timah" 27. Added "CooperMetal" as an alias of "Cooperanta" 28. Corrected spelling of "CV Prima Timah Utama" to "PT Prima Timah Utama".
2.03a	John Flyler, BlackBerry	July 25th 2013		1. Corrected the spelling of "ALMT" to "ALLMT Corp." 2. Added "ALLMT, Tungsten Corp.", "Allied Material Corp.", and "ALMT" as aliases of "ALL.M.T. Corp." 3. Corrected country of "ALL.M.T. Corp." to "Japan" and smelter ID to "AJ/PNG20" 4. Changed alias "Wolfarm" for "Wolfarm Company CJSC" to "Wolfarm (Russia)" 5. Added "Wolfarm (Austria)" as an alias of "Wolfarm Bergbau und Hütten AG" 6. Added "Kermetals Inc." as a tungsten refiner 7. Added "Kermetals" as an alias of "Kermetals Inc."